



NEW YORK
REGIONAL OFFICE

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
100 PEARL STREET, SUITE 20-100
NEW YORK, NY 10004-2616

May 2, 2024

VIA ECF

The Honorable Pamela K. Chen
United States District Court
Eastern District of New York
225 Cadman Plaza East, Chambers N 631
Brooklyn, NY 11201

Re: **SEC v. Richard Xia, et al., 21-cv-5350 (E.D.N.Y.)**

Dear Judge Chen:

Plaintiff Securities and Exchange Commission, in response to the motion by Intervenor CTBC Bank Corp. (USA) ("CTBC") to modify the asset freeze and appoint a receiver (ECF No. 405), respectfully takes no position on CTBC's motion.

Respectfully submitted,

/s/ David Stoelting
Christopher M. Colorado
David Stoelting
Kim Han
Securities and Exchange Commission

Attorneys for Plaintiff